



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

SECRETARY

COMMISSION VOTING RECORD

DECISION ITEM: SECY-13-0079

TITLE: REQUEST TO CHANGE APPROVAL AUTHORITY FOR EMERGENCY  
PLAN CHANGES

The Commission acted on the subject paper as recorded in the Staff Requirements Memorandum (SRM) of August 30, 2013.

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Commission.

A handwritten signature in black ink that reads "Kenneth R. Hart".

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Kenneth R. Hart  
Acting Secretary of the Commission

Attachments:

1. Voting Summary
2. Commissioner Vote Sheets

cc: Chairman Macfarlane  
Commissioner Svinicki  
Commissioner Apostolakis  
Commissioner Magwood  
Commissioner Ostendorff  
OGC  
EDO  
PDR

VOTING SUMMARY - SECY-13-0079

RECORDED VOTES

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIP	COMMENTS	DATE
CHRM. MACFARLANE			X			8/26/13
COMR. SVINICKI			X			8/26/13
COMR. APOSTOLAKIS			X			8/12/13
COMR. MAGWOOD	X		X			8/2/13
COMR. OSTENDORFF			X			8/9/13

**NOTATION VOTE**

**RESPONSE SHEET**

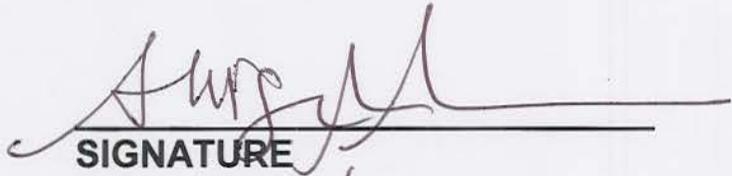
**TO:** Annette Vietti-Cook, Secretary  
**FROM:** Chairman Allison M. Macfarlane  
**SUBJECT:** SECY-13-0079 – REQUEST TO CHANGE APPROVAL  
AUTHORITY FOR EMERGENCY PLAN CHANGES

Approved \_\_\_\_\_ Disapproved X Abstain \_\_\_\_\_

Not Participating \_\_\_\_\_

**COMMENTS:** Below X Attached \_\_\_ None \_\_\_

Emergency preparedness continues to be a high-profile subject matter within the NRC and perhaps more profoundly, in the minds of interested external parties. For that reason, while noting my appreciation for the staff's continued attempts to increase efficiencies in agency processes, I disapprove the staff's recommendation in SECY-13-0079 to delegate to Branch Chiefs in the Office of Nuclear Reactor Regulation the approval authority for emergency plan changes that result in a decrease in effectiveness.

  
\_\_\_\_\_  
**SIGNATURE**

8/26/13  
\_\_\_\_\_  
**DATE**

Entered on "STARS" Yes  No \_\_\_\_\_

NOTATION VOTE

RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary  
FROM: COMMISSIONER SVINICKI  
SUBJECT: SECY-13-0079 – REQUEST TO CHANGE APPROVAL  
AUTHORITY FOR EMERGENCY PLAN CHANGES

Approved \_\_\_\_\_ Disapproved XX Abstain \_\_\_\_\_

Not Participating \_\_\_\_\_

COMMENTS: Below \_\_\_ Attached XX None \_\_\_

  
\_\_\_\_\_  
SIGNATURE

08/26/13  
\_\_\_\_\_  
DATE

Entered on "STARS" Yes  No \_\_\_\_\_

**Commissioner Svinicki's Comments on SECY-13-0079  
Request to Change Approval Authority for Emergency Plan Changes**

I find no basis at this time to disturb the settled policy judgment of the Commission that it is appropriate to delegate to the Director of the Office of Nuclear Reactor Regulation (NRR) the authority to approve emergency plan changes, including those resulting in a decrease in effectiveness, provided that this authority be delegated not lower than the Director (or Deputy Director when acting for the Office Director) of NRR, in consultation with the Office of Nuclear Security and Incident Response. [See SRM-SECY-08-0024] In voting to establish this condition in 2008, I agreed with the Commission majority that this policy would align the NRR Director's authority to approve these changes with the authority already in place to approve other significant licensing and regulatory actions. The staff does not identify any unmanageable administrative burdens associated with this delegation; consequently, I disapprove the staff's request to delegate this authority to NRR Branch Chiefs.

In his vote on this matter, Commissioner Magwood observes that regulatory and program decisions that are already appropriately delegated to various levels of the staff are not consistently communicated to the Commission. In a noteworthy parallel, in his vote on SECY-08-0024 (the paper which established this delegation), [then] Commissioner Jaczko stated that he remained "unconvinced" regarding some of the staff's arguments that it was "too time consuming and burdensome" to fulfill the staff's concurrence process and to provide information to the Commission in a timely manner. He urged the staff to increase the efficiency of its processes and to do so expeditiously "so that the Commission can continue to play its statutory role as the head of agency." In a similar vein, in comments on a recent voting matter, I noted that updates to agency websites are not a substitute for and do not fulfill the staff's obligation, under law, to keep the Commission fully and currently informed. In light of this, I support Commissioner Magwood's proposal that representatives of the Office of Executive Director should meet with Commissioners Assistants and the Secretary of the Commission to review the protocols, standards, and methods for providing such information to the Commission to assure that expectations are in alignment.



Kristine L. Svinicki

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**NOTATION VOTE**

**RESPONSE SHEET**

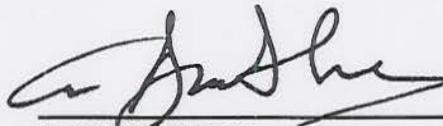
TO: Annette Vietti-Cook, Secretary  
FROM: Commissioner Apostolakis  
SUBJECT: SECY-13-0079 – REQUEST TO CHANGE APPROVAL  
AUTHORITY FOR EMERGENCY PLAN CHANGES

Approved \_\_\_\_\_ Disapproved  X  Abstain \_\_\_\_\_

Not Participating \_\_\_\_\_

COMMENTS: Below  X  Attached \_\_\_\_\_ None \_\_\_\_\_

Because of the high interest in emergency preparedness issues, I disapprove the staff's recommendation that the Commission further delegate to the staff the ability to approve emergency plan changes that represent a decrease in effectiveness.



\_\_\_\_\_  
SIGNATURE

8/12/13

\_\_\_\_\_  
DATE

Entered on "STARS" Yes  No \_\_\_\_\_

NOTATION VOTE

RESPONSE SHEET

TO: Annette Vietti-Cook, Secretary  
FROM: COMMISSIONER MAGWOOD  
SUBJECT: SECY-13-0079 – REQUEST TO CHANGE APPROVAL  
AUTHORITY FOR EMERGENCY PLAN CHANGES

Approved  Disapproved  Abstain \_\_\_\_\_

Not Participating \_\_\_\_\_

COMMENTS: Below \_\_\_\_\_ Attached  None \_\_\_\_\_



\_\_\_\_\_  
SIGNATURE

2 August 2013

\_\_\_\_\_  
DATE

Entered on "STARS" Yes  No \_\_\_\_\_

**Commissioner Magwood's Comments on SECY-13-0079,  
"Request to Change Approval Authority for Emergency Plan Changes"**

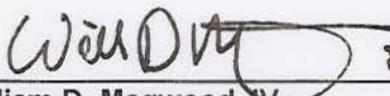
I appreciate and encourage staff's ongoing effort to seek out efficiencies and improvements in the agency's processes and regulatory activities. In the subject SECY, staff makes a fair point that the staff process used to approve emergency plan license amendment changes does not significantly differ from the processes and controls the NRC employs for approval of many licensing actions.

However, in contrast to the majority of our regulatory activities, emergency preparedness programs are highly interactive with state and local governments and are of significantly higher interest to the general public. As a result, changes in emergency preparedness programs require considerable sensitivity to the interests of many involved stakeholders and warrants careful treatment. In some cases, it would be appropriate to consult with or alert the Chairman or the Commission as a whole regarding planned changes. For this reason, I disapprove the staff's proposal to change the approval authority for emergency plan changes.

The approval or denial authority should remain with the Director (or designated Acting Director) of NRR and should not be further delegated. Maintaining this level of approval aligns emergency plan change approval authority with other licensing and regulatory actions that enjoy broad public attention (e.g., license renewals, power uprates, exemptions from regulations, and license transfers). Maintaining approval authority at this level helps assure that the agency conducts the appropriate coordination with key stakeholders and that potential policy issues are identified and communicated to the Commission.

As a general matter, I note that regulatory and program decisions that are already appropriately delegated to various levels of the staff are not consistently communicated to the Commission. It is not uncommon for commission offices to learn of significant staff decisions through the media. This is, obviously, not ideal. I suggest that staff hold a meeting with commission assistants and the Secretary of the Commission to review the protocols, standards, and methods for providing such information to the Commission in order to assure that all expectations are in alignment.

Finally, I fully support staff's recommendation that the Commission should continue to maintain approval authority for any license amendments regarding the relocation of emergency operations facilities to locations more than 25 miles from associated nuclear power plant sites.

  
\_\_\_\_\_  
William D. Magwood, IV      8/2/13  
Date

**NOTATION VOTE**

**RESPONSE SHEET**

**TO:** Annette Vietti-Cook, Secretary  
**FROM:** COMMISSIONER OSTENDORFF  
**SUBJECT:** SECY-13-0079 – REQUEST TO CHANGE APPROVAL  
AUTHORITY FOR EMERGENCY PLAN CHANGES

Approved \_\_\_\_\_ Disapproved X Abstain \_\_\_\_\_

Not Participating \_\_\_\_\_

**COMMENTS:** Below X Attached \_\_\_ None \_\_\_

I join Commissioner Magwood in encouraging the staff's ongoing effort to seek out efficiencies and improvements in the agency's processes and regulatory activities. I recognize that the processes and controls the NRC staff currently employs for approval of emergency plan changes submitted in accordance 10 CFR 50.54(q)(4) are more onerous than the approval of other licensing actions such as security plan changes and technical specification changes. I also understand that an argument can be made that emergency plan changes should be approved at a similar organizational level as these other activities. That said, emergency preparedness is a unique subject area with significant stakeholder interest. In light of the continued heightened visibility of emergency preparedness issues, I disapprove the staff's proposal to delegate the signature authority from the Director of NRR to the Branch Chief level for approval or denial of emergency plan changes requiring NRC approval in accordance with 10 CFR 50.54(q)(4).

  
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SIGNATURE

8/9/13  
\_\_\_\_\_  
DATE

Entered on "STARS" Yes X No \_\_\_