



# RIC 2002

## Session W6

# Reactor Oversight Process (ROP)

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# The Enforcement Program

- Reactor Safety Arena
  - SDP Issues (NCV, NOV)
  - Non-SDP Issues (NCV, NOV with Severity Level)
    - Actual Consequences
    - Willfulness
    - Impeding the Regulatory Process
- Materials Safety Arena
- Individual Actions



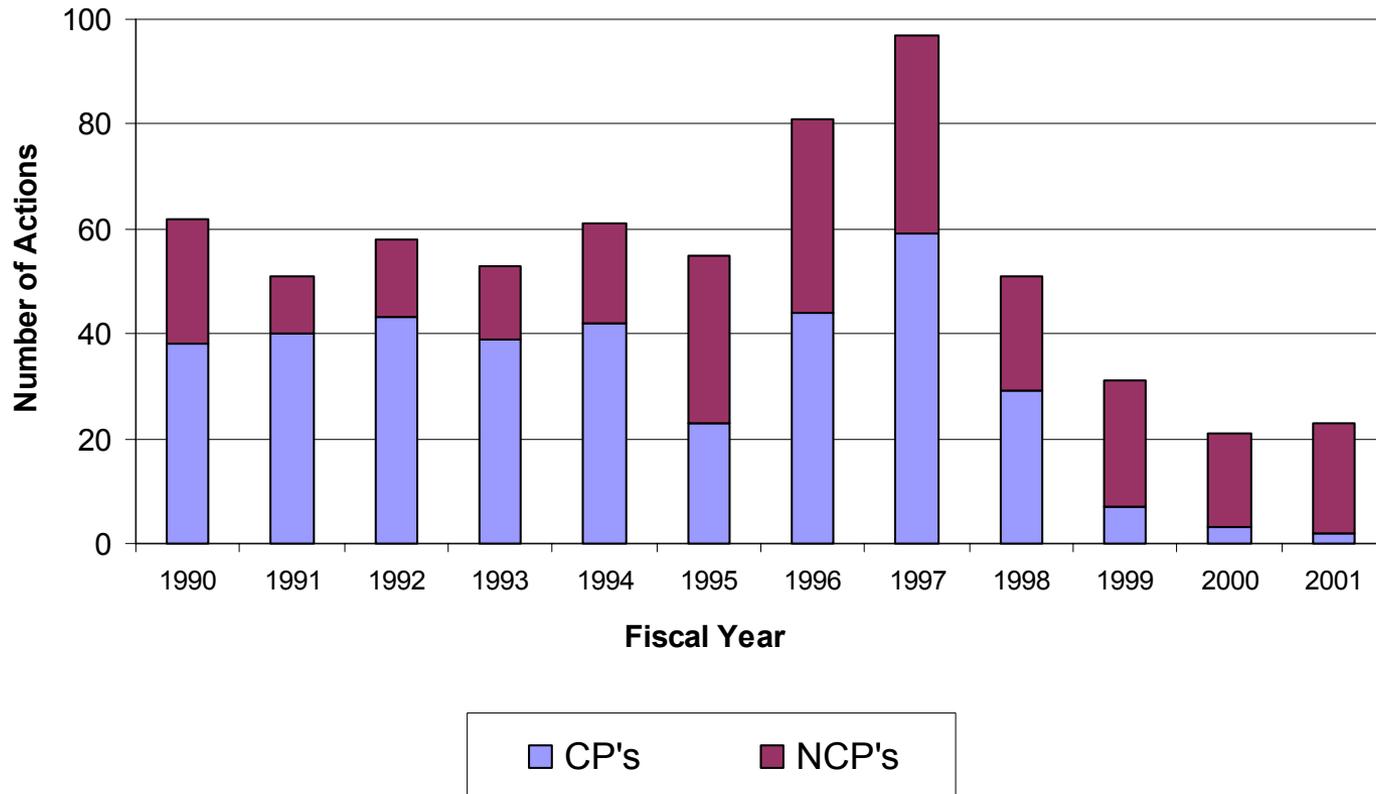
# Enforcement/ROP Implementation issues

- Process Timeliness
- Regulatory Conferences vs. Enforcement Conferences
- Downgraded Findings
- Traditional Enforcement (SDP used, as appropriate)

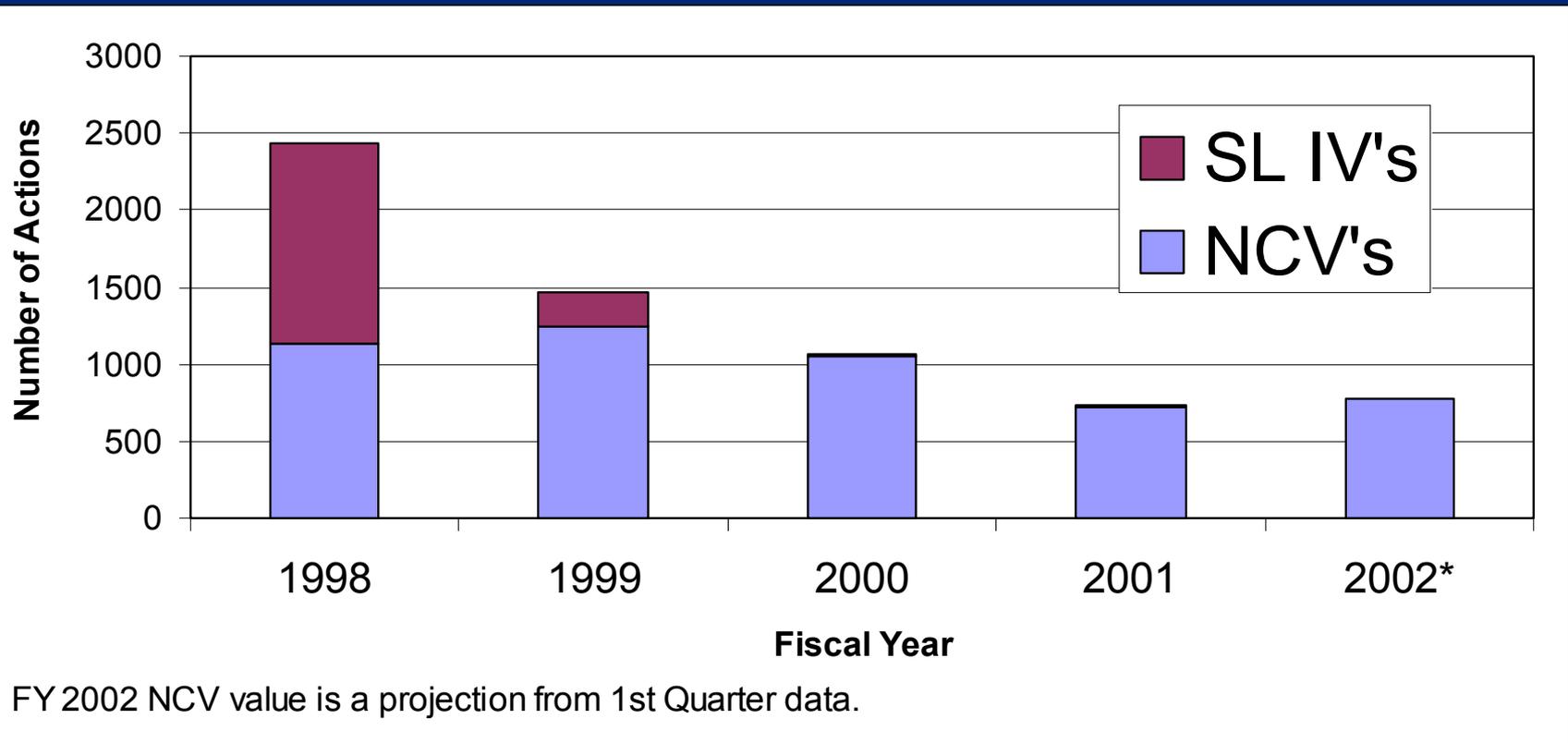
# Timeliness

- Timeliness of SDP Findings Remains a Concern.
- Balance Between Timeliness & Completeness is Unfulfilled.
- Protracted Determinations Delay Associated Enforcement Actions.
- Potential Negative Impact in Public Confidence.
  - Notification of Non-Compliance to Licensee.
  - Response Required on Corrective Actions.

# Escalated Enforcement Trends (Power Reactor)



# Non-Escalated Enforcement Trends (Power Reactors)



# Office Initiatives

- Individual Action Guidance
- Discrimination Task Force
- ADR
- Maintenance Rule Guidance