

SECURITY OWNERSHIP CERTIFICATION

CERTIFICATION OF COMPLIANCE WITH THE NRC'S SECURITY OWNERSHIP RESTRICTION UPON APPOINTMENT TO COVERED POSITION

I have been appointed, promoted or transferred to a position that is covered by the NRC's security ownership restriction. Therefore, I certify to one of the following:

_____ I do not own, nor does my spouse or my minor child own, any stocks, bonds, or other security interests issued by an entity on the Commission's current prohibited securities list.

_____ I own, or my spouse, or my minor child owns, the following stocks, bonds, or other security interests that are on the Commission's current prohibited securities list.

Name of Prohibited Security

Quantity Owned (#of Shares)

<u>Name of Prohibited Security</u>	<u>Quantity Owned (#of Shares)</u>
_____	_____
_____	_____
_____	_____

I understand that, pursuant to 5 CFR 5801.102 (d)(1), these security interests must be divested within 90 days from the date that I assumed a position subject to the security ownership restriction. I also understand that I may not participate personally and substantially in particular matters affecting these entities until the divestiture of these securities has taken place.

I will notify the Office of the General Counsel in writing when the divestiture has been completed. (Send to: Ethics Counselor, OWFN, Mail Stop, O15 - D21).

PRINT NAME

NRC OFFICE

SIGNATURE

DATE