

Susquehanna 2

3Q/2012 Plant Inspection Findings

Initiating Events

Significance:  Sep 30, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate Procedure for Acts of Nature

The inspectors identified a Green NCV of TS 5.4.1, "Procedures," when PPL did not maintain adequate procedures to respond proactively to acts of nature. Specifically, PPL's adverse weather procedure did not ensure timely risk management activities for imminent adverse weather were completed despite a National Weather Service (NWS) declaration of a high wind watch, high wind advisory, and a tornado watch. PPL entered this item in their Corrective Action Program (CAP) as condition report (CR) 1628452.

The issue was evaluated in accordance with IMC 0612 and determined to be more than minor since it affected the procedure quality attribute of the Initiating Events cornerstone and its objective to limit the likelihood of events that upset plant stability and challenge critical safety functions during shutdown as well as power operations. Specifically, the inadequate procedure prevented PPL from taking proactive steps to limit the likelihood of high wind or tornado-related missile hazards upsetting plant electrical power systems.

The finding screened to Green in accordance with IMC 0609, Attachment 4, and Appendix A, Exhibit 1, since it did not cause a reactor trip, involve the complete or partial loss of mitigation or support equipment, or impact the frequency of a fire or internal flooding event.

The finding was determined to have a cross-cutting aspect in the area of Problem Identification and Resolution - CAP because PPL did not identify issues completely, accurately, and in a timely manner commensurate with their safety significance.

Specifically, PPL did not identify that the Off Normal procedure was inadequate both during the 2011 periodic procedural review and during documentation of inspector observations in May 2012 as part of CR 1579977. [P.1(a)] (Section 1R01)

Inspection Report# : [2012004](#) (*pdf*)

Significance:  Dec 31, 2011

Identified By: NRC

Item Type: FIN Finding

Inadequate Post-Modification Testing Results in Main Turbine Trip and Automatic Scram

A self-revealing finding of very low safety significance (Green) was identified when PPL personnel did not have adequate procedures to perform post-modification testing on the digital integrated control system (ICS). Specifically, scheme checks and functional testing failed to identify an improper termination in the high reactor water level main turbine trip circuit. This error reduced the channel trip circuitry from a two-out-of-three logic to allowing a main turbine trip from a single channel. As a result, on August 19, 2011, during the first implementation of quarterly surveillance testing for the trip function, a main turbine trip and automatic reactor scram occurred.

The performance deficiency was determined to be more than minor because the finding was associated with the Initiating Events cornerstone attribute of Equipment Performance, and affected the cornerstone objective of limiting

the likelihood of those events that upset plant stability and challenge critical safety functions during power operation. Specifically, inadequate post-modification testing failed to identify an improperly terminated jumper which ultimately led to a main turbine trip and automatic reactor scram during subsequent surveillance testing. The inspectors evaluated the finding using IMC 0609, Attachment 4, “Initial Screening and Characterization of Findings,” and determined the finding did not contribute to both the likelihood of a reactor trip and the likelihood that mitigation equipment or functions would not be available. Consequently, the finding is of very low safety significance (Green). This finding is related to the CCA of Human Performance – Resources because PPL did not ensure that personnel, equipment, procedures, and other resources were available and adequate to assure nuclear safety. Specifically, PPL did not ensure that complete, accurate and up-to-date maintenance and test procedures were available to perform post-modification testing on the digital ICS. (H.2(c)) (4OA3)
 Inspection Report# : [2011005](#) (*pdf*)

Mitigating Systems

Significance:  Sep 30, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Failure to Implement Risk Management Actions

The inspectors identified a Green NCV of 10 CFR 50.65(a)(4) when PPL did not implement risk management actions (RMAs) during maintenance as required by station procedures. The inspectors identified multiple examples of PPL non-compliance with 10 CFR 50.65(a)(4); PPL’s implementing procedures NDAP-QA-0340, “Protected Equipment Program;” and NDAP-QA-1902, “Integrated Risk Management.” PPL entered the issue in their CAP as CRs 1611044, 1604007, 1601929, 1602495, and 1611876.

The finding was more than minor because it was similar to IMC 0612, Appendix E, examples 7.e and 7.f. Specifically, elevated plant risk required RMAs or additional RMAs that were not implemented as required by plant procedures. The finding also affected the equipment performance attribute of the Mitigating Systems Cornerstone and its objective to ensure the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. In accordance with IMC 0609, Attachment 4, the issues were determined to involve PPL’s assessment and management of risk associated with performing maintenance activities and was further assessed under IMC 0609, Appendix K, “Maintenance Risk Assessment and Risk Management SDP.” The issue was evaluated by a Senior Reactor Analyst utilizing flowchart 2, and the finding was determined to be of very low safety significance (Green) since it did not result in an increase to either the incremental core damage probability (ICDP) or to the incremental large early release probability (ILERP). The finding was determined to have a cross-cutting aspect in the area of Human Performance, Work Control, in that PPL did not plan work activities, consistent with nuclear safety, by incorporating risk insights. Specifically, PPL did not incorporate RMAs into its work activities despite recognition of increased risk. [H.3(a)] (Section 1R13)

Inspection Report# : [2012004](#) (*pdf*)

Significance:  Sep 10, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate Compensatory Actions for Inadequate MOV Grease Analysis Procedures

The inspectors identified an NCV of 10 CFR 50, Appendix B, Criterion XVI, “Corrective Actions,” because PPL did not take appropriate action to promptly correct an identified condition adverse to quality associated with PPL’s motor-operated valve (MOV) lubrication program. PPL did not adhere to the corrective action timeliness standards specified in its corrective action process procedure NDAP-QA-0702, “Action Request and Condition Report Process,” Revision

36. Section 7.56.1 states, in part, that compensatory action will be provided if permanent action will not be performed in a timely fashion. Specifically, while evaluating permanent revisions to the program to address the deficiencies, PPL did not take compensatory actions to address MOV grease analysis procedure and engineer qualification program deficiencies before sixty MOV grease analyses were completed in refueling outage 15. PPL entered this performance deficiency into their CAP under CR 1562326.

The inspectors determined that the performance deficiency was more than minor because it was associated with the equipment performance attribute of the Mitigating Systems cornerstone and adversely affected the cornerstone objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Specifically, failure to implement compensatory actions to analyze grease samples in MOVs affects the reliability of these valves. An MOV lubrication program is an integral part of the station's Generic Letter 89-10 program for safety-related MOVs. PPL uses the results of the MOV grease analysis to determine the need for a valve actuator overhaul. The inspectors screened this issue to Green via Inspection Manual Chapter 0609, Attachment 4 and Appendix A, because the finding does not represent a loss of system and/or function, does not represent an actual loss of function of at least a single train for greater than its technical specification allowed outage time or two separate safety systems out-of-service for greater than its technical specification allowed outage time, and does not represent an actual loss of function of one or more non-technical specification trains of equipment designated as high safety-significant in accordance with the licensee's Maintenance Rule program for greater than 24 hrs. The inspectors determined that this issue had a cross-cutting aspect in the area of human performance, work practices, because PPL personnel did not follow PPL corrective action program procedure requirements regarding compensatory actions [H.4(b)]. (Section 40A2.1.c(1))

Inspection Report# : [2012009](#) (*pdf*)

Significance:  Sep 10, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Failure to Identify and Correct a CAQ Associated with a Safety-Related Battery Maintenance Procedure

The inspectors identified an NCV of 10 CFR 50, Appendix B, Criterion XVI, "Corrective Actions," because PPL did not identify and correct the inadequate weekly testing procedure that resulted in the inoperability of the safety-related 24-volt battery 1D670 that occurred on March 1, 2012. Specifically, because the engineer assigned to perform the Apparent Cause Evaluation (ACE) for the March 1, 2012, failure did not interview the technicians who performed the last weekly surveillance on the battery before the failure, PPL did not identify that the weekly testing procedure did not provide adequate instructions for restoring low battery electrolyte level. PPL entered this performance deficiency into their CAP as CR 1602339.

The inspectors determined that this performance deficiency was more than minor because it affected the equipment performance attribute of the Mitigating Systems cornerstone and its objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Specifically, as evidenced by the events on March 1, 2012, the use of the inadequate procedure resulted in the inoperability of the 1D670 battery that supports operation of the safety-related source range and intermediate range instrumentation. The inspectors determined that the inadequate procedure problem was a condition adverse to quality. The inspectors screened this issue to Green via Inspection Manual Chapter 0609, Attachment 4 and Appendix A, because the finding does not represent a loss of system and/or function, does not represent an actual loss of function of at least a single train for greater than its technical specification allowed outage time or two separate safety systems out-of-service for greater than its technical specification allowed outage time, and does not represent an actual loss of function of one or more non-technical specification trains of equipment designated as high safety-significant in accordance with the licensee's Maintenance Rule program for greater than 24 hrs. The inspectors determined that this finding had a cross-cutting aspect in the area of problem identification and resolution, corrective action program, because PPL did not appropriately evaluate the unexpected inoperability of a safety-related battery such that a condition adverse to quality, the inadequate maintenance procedure that likely caused the battery inoperability, was identified and corrected [P.1.

(c)]. (Section 40A2.1.c(2))

Inspection Report# : [2012009](#) (pdf)**Significance:** G Sep 10, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Failure to identify and Correct a CAQ Associated with Reactor Recirculation Valve Exercising Surveillance Procedure

The inspectors identified an NCV of 10 CFR 50, Appendix B, Criterion XVI, "Corrective Actions," because PPL did not identify an inadequate recirculation valve surveillance procedure when the licensee completed the extent of condition review that was performed as part of the root cause analysis for the Reactor Core Isolation Cooling (RCIC) ramp generator signal converter (RGSC) failure on June 29, 2011. Specifically, PPL did not adhere to the extent of condition determination standards established in PPL procedure NDAP-00-0752, "Cause Analysis." The actions taken to address the extent of condition were not of a depth sufficient to identify the same deficiency that existed in the RCIC flow surveillance procedure in other applicable surveillance procedures. As a result, the inadequate recirculation valve surveillance procedure was not identified. PPL entered this performance deficiency into their CAP as CR 1596633.

The inspectors determined that this performance deficiency was more than minor because it affected the procedural quality attribute of the Mitigating Systems cornerstone and its objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Specifically, PPL did not complete an evaluation of the extent of condition for the identified inadequate RCIC surveillance procedure to a depth that would have identified the same deficiency in other similar procedures. As a result, an independent review by inspectors identified a similar condition associated with the reactor recirculation valve exercising procedure. The inspectors determined that this procedure problem was a condition adverse to quality. The inspectors screened this issue to Green via Inspection Manual Chapter 0609, Attachment 4 and Appendix A, because the finding does not represent a loss of system and/or function, does not represent an actual loss of function of at least a single train for greater than its technical specification allowed outage time or two separate safety systems out-of-service for greater than its technical specification allowed

outage time, and does not represent an actual loss of function of one or more non-technical specification trains of equipment designated as high safety-significant in accordance with the licensee's Maintenance Rule program for greater than 24 hrs. The inspectors determined that this finding had a cross-cutting aspect in the area of problem identification and resolution, corrective action program, because, although the root cause analysis appropriately bounded and defined the necessary actions to address the extent of condition, the implementation of those actions was insufficient to ensure similar conditions did not exist in other site procedures [P.1.(d)]. (Section 40A2.1.c(3))

Inspection Report# : [2012009](#) (pdf)**Significance:** G Mar 31, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate MOV Program Implementation

The inspectors identified a Green NCV of 10 CFR Part 50 Appendix B, Criterion V, "Instructions, Procedures, and Drawings," regarding PPL's safety-related motor operated valve (MOV) program. Specifically, the program lacked a procedure, qualification, and prescribed acceptance criteria for actuator grease analysis and PPL improperly implemented maintenance instructions for lubricating valve stems. PPL's QA organization conducted a separate investigation and entered this issue in their CAP via CRs 1545581 and 1544737.

This finding was considered more than minor because it was similar to IMC 0612, Appendix E, examples 3.j and 3.k, in that significant programmatic deficiencies existed that could lead to worse errors if uncorrected. The lack of a procedure, repeatable acceptance criteria, qualification, and multiple cycles without stem lubrication could result in

untimely actuator overhauls and ultimately MOV degraded performance. Further, the performance deficiency affected the equipment performance attribute of the Mitigating Systems cornerstone and its objective to ensure the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Specifically, inadequate MOV program implementation affects MOV reliability. The issue screened to Green via IMC 0609 Attachment 4 since it was not a design or qualification deficiency or loss of safety function and did not screen as potentially risk significant due to external events. The issue was determined to have a cross-cutting aspect in the area of Problem Identification and Resolution. In this case, PPL was aware of the lack of procedural guidance and qualification for MOV grease analysis as well as non-compliance with stem lubrication instructions but had not entered the concerns in its CAP. [P.1(a)] (Section 1R12)

Inspection Report# : [2012002](#) (*pdf*)

Significance: G Dec 31, 2011

Identified By: NRC

Item Type: NCV NonCited Violation

Failure to Properly Implement Work Instructions Results in C EDG Inoperability

An NRC-identified Green NCV of TS 5.4.1, "Procedures," due to PPL's failure to properly plan and implement work instructions and Quality Control (QC) hold point inspections associated with a modification to the 'C' Emergency Diesel Generator (EDG) fuel pump assemblies was identified. The error resulted in the failure of the 'C' EDG to continue running during surveillance testing on December 6, 2011. This resulted in PPL failing to meet the requirements of TS 3.8.1, "AC Sources- Operating", when it was determined that the 'C' EDG was inoperable from September 19, 2011, following restoration from its maintenance outage, until December 6, 2011, when the operable 'E' EDG was substituted for the 'C' EDG. Additionally, the failure to implement work instructions resulted in PPL failing to meet the requirements of 10 CFR Part 50, Appendix B, Criterion X, "Inspection," which requires, in part, that licensees execute a program for inspection of activities affecting quality to verify conformance with the documented instructions, procedures, and drawings for accomplishing the activity. The deficiency was entered into PPL's corrective action program (CAP) as condition Report (CR) 1506105 and a root cause analysis (RCA) was performed.

The performance deficiency was determined to be more than minor because the finding was associated with the Mitigating Systems cornerstone attribute of Human Performance, and affected the cornerstone objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences (i.e., core damage). The finding was evaluated using Phase 1 and inspectors determined the finding was potentially greater than very low safety significance because the finding represented an actual loss of safety function of a single train for greater than its TS Allowed Outage Time. The Phase 2 analysis determined the finding was potentially greater than very low safety significance given an exposure time of 75 days. A Phase 3 analysis was conducted by an NRC Senior Reactor Analyst (SRA). This analysis indicated an increase in core damage frequency (? CDF) for internal initiating events in the range of 1 core damage accident in 40,000,000 years of reactor operation, in the low E-8 range per year for each unit. The dominant core damage sequences included losses of offsite power with the failure of all EDGs, due to common cause, resulting in a station blackout, followed by operator failure to extend RCIC operation with loss of DC power, failure to depressurize the reactor and failure to recover offsite power within 4 hours. The finding is related to the CCA of Human Performance, Work Practices, in that PPL personnel did not use human error prevention techniques, such as holding pre-job briefings, self and peer checking, and proper documentation of activities, commensurate with the risk of the assigned task, such that work activities are performed safely. Specifically, PPL did not perform adequate human error prevention techniques such that the incorrect assembly of delivery valve springs and stops avoided. (H.4(a)) (Section 1R13)

Inspection Report# : [2011005](#) (*pdf*)

Inspection Report# : [2012004](#) (*pdf*)

Significance: G Dec 31, 2011

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate RCIC Post Maintenance Testing

An NRC-identified, Green NCV of 10 CFR 50, Appendix B, Criterion XI, “Test Control,” occurred when the Unit 2 RCIC ramp generator signal converter (RGSC) failed during maintenance but post maintenance testing (PMT) failed to identify the failure, which went unrecognized until RCIC tripped on overspeed during its normal operating pressure surveillance on June 29, 2011. Consequently, from June 26, 2011, when PPL commenced a reactor startup and transitioned to plant conditions under which RCIC was required to be operable, until June 29, 2011, PPL RCIC was inoperable. After the RGSC was replaced, RCIC was re-tested via SO-250-002 on July 1 and declared operable on July 2. In response to the event, PPL initiated an apparent cause evaluation (ACE), an RCA, and RGSC post-mortem investigation. PPL entered this issue into their CAP as CRs 1430270, 1450534, and 1516769.

The failure to conduct adequate post-maintenance testing (PMT) that demonstrates RCIC would perform satisfactorily in service via test procedures was a performance deficiency that was reasonable for PPL to foresee and correct. The finding was more than minor since it affected the equipment performance attribute of the Mitigating Systems cornerstone and its objective to ensure the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Specifically, reliable operation and the capability of RCIC in automatic were affected by a failure of its RGSC during the refueling outage. The inspectors evaluated the finding in accordance with IMC 0609 Attachment 4, Phase I – Initial Screening and Characterization of Findings, and determined it to be Green, since it was not a design or qualification deficiency, was not a loss of system safety function, and was not risk significant due to an external initiating event. This finding had a cross-cutting aspect in the area of Problem Identification and Resolution (PI&R) - Operating Experience (OE), in that licensees are to implement and institutionalize OE through changes to station processes, procedures, equipment, and training programs. Specifically, PPL did not implement and institutionalize various OE pertinent to RCIC in maintenance, PMTs, and system monitoring. (P.2(b)) (Section 40A3)

Inspection Report# : [2011005](#) (*pdf*)

Significance: SL-IV Nov 08, 2011

Identified By: NRC

Item Type: VIO Violation

Violation of 10CFR55.25, Failure to Notify NRC of a Change in Medical Status and Request a Conditional License

The inspectors identified a SL IV NOV of 10 CFR 55.25, “Incapacitation Because of Disability or Illness,” for PPL failing to notify the NRC of a known permanent change in medical status of a licensed operator, and 10 CFR 55.3, “License Requirements,” for failing to ensure that an individual license holder, in the capacity of a reactor operator (RO), met the medical prerequisites prior to performing licensed operator duties. Specifically, an RO failed a medical examination in both 2009 and 2011 which identified a disqualifying condition and performed licensed duties without an NRC-approved, amended license. He performed the function of an RO while on watch from April 2009 through August 2011, when the NRC identified this issue. However, the operator did wear corrective lenses while standing watch since April 2009. Upon notification PPL submitted, and the NRC approved, a conditional license to address the disqualifying medical condition. PPL entered this issue into their corrective action program (CAP) as condition report (CR) 1450138.

The inspectors determined that PPL’s failure to notify the NRC of a known permanent change in a licensed operator’s medical status and request an amended license in order to assume licensed duties was a performance deficiency. This finding was evaluated using the traditional enforcement process because the issue had the potential to impact or impede the regulatory process. Specifically, there was a potential for license termination or the issuance of a conditional license to accommodate for a medical condition. The RO performed licensed duties from April 2009 through August 2011 with a disqualifying condition that required his license to be amended. Using the NRC

Enforcement Policy, this violation was characterized at SL IV, in accordance with Section 6.4.

This violation is being cited in the enclosed Notice in accordance with NRC Enforcement Manual Section 3.1.2, because the violation was determined to be repetitive of NRC Enforcement Action (EA) 09-248 dated January 28, 2010, an SLIII Notice of Violation related to a Senior Reactor Operator (SRO) standing watch without meeting a medical qualification requirement. The medical conditions in both the former and current cases were similar; therefore, it was reasonable that an adequate extent of condition review for EA-09-248 should have identified the additional discrepancy.

This significance of the associated performance deficiency was screened against the Reactor Oversight Process (ROP) per the guidance of IMC 0612, Appendix B. No associated ROP finding was identified and no cross-cutting aspect was assigned. (Section 1R11)

Inspection Report# : [2011004](#) (*pdf*)

Significance:  Nov 08, 2011

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate Operability Assessment of Safety Relief Valve Seat Leakage

An NRC-identified Green NCV of 10 CFR 50, Appendix B, Criterion V, "Instructions, Procedures, and Drawings," was identified when PPL did not perform an adequate operability assessment in accordance with procedure NDAP-QA-0703, "Operability Assessments and Requests for Enforcement Discretion," Revision 15, to ensure the continued operability of the 'M' safety relief valve (SRV). Upon identification, operators initiated an Operability Follow-up Request which ultimately resulted in the 'M' SRV being declared inoperable.

The finding was more than minor because it was similar to example 3.j in IMC 0612 Appendix E, "Examples of Minor Issues" in that an error in a calculation is not minor if the error results in reasonable doubt on the operability of the system or component. In this case, the error made in evaluating the operability of the SRV resulted in reasonable doubt of operability. The finding was evaluated for significance using IMC 0609, Attachment 4, "Phase 1 - Initial Screening and Characterization of Findings." Since the finding was not a design or qualification deficiency, did not result in a loss of system safety function, did not result in loss of a single train for greater than its allowed outage time, and was not potentially risk significant due to external events, the finding was determined to be of very low safety significance (Green). This finding was related to the cross-cutting area of Problem Identification and Resolution (PI&R) - CAP because PPL did not thoroughly evaluate problems such that the resolutions address the causes and extent of conditions, to include properly classifying, prioritizing and evaluating for operability. Specifically, PPL failed to consider the effect that seat leakage had on the lift point of the 'M' SRV and failed to correctly assess the SRV for operability.

Inspection Report# : [2011004](#) (*pdf*)

Significance:  Dec 31, 2009

Identified By: NRC

Item Type: FIN Finding

Scenarios for NRC Annual Operating Examinations Did Not Meet Quantitative Standards for Total Malfunctions

The inspectors identified greater finding in that 20% of the NRC annual operating exam simulator scenarios reviewed did not meet the quantitative standard for total malfunctions, 4 to 8 for a single scenario, and 10 to 14 for a scenario set established in NUREG-1021, "Operator Licensing Examination Standards for Power Reactors," Form ES-604-1, "Simulator Scenario Review Checklist." In addition, the licensee's procedures NTP-QA-31.11, "Operator

Requalification Exam Preparation and Implementation” and NTP-QA-31.7, “Simulator Scenario Writers Guides,” recommend these same quantitative standards. The quantitative guidelines for malfunctions is an important metric because it establishes an objective standard used throughout the nuclear industry to ensure that the simulator portion of the NRC-required annual operating exams are written at an appropriate level of difficulty. As an immediate corrective action, the licensee entered this finding into their corrective action process (CR 1187760).

This finding was more than minor because it was associated with the Human Performance attribute of the Mitigation Systems cornerstone and affected the objective of ensuring the availability, reliability, and capability of systems that respond to initiating events to prevent undesirable consequences. Specifically, the finding affected the level of difficulty of simulator operating exams which potentially impacted PPL’s ability to appropriately evaluate licensed operators. A review of the possible cross-cutting aspects was performed and no cross-cutting aspect was identified that would be considered a contributor to the cause of the finding.

Inspection Report# : [2009005](#) (*pdf*)

Barrier Integrity

Significance:  Sep 30, 2012

Identified By: NRC

Item Type: FIN Finding

Inadequate Troubleshooting Results in Loss of Secondary Containment and Protected Equipment

A self-revealing Green finding against PPL procedure NDAP-QA-0510, “Troubleshooting Plant Equipment,” was identified when inadequate troubleshooting caused repeated inoperability of secondary containment, an associated unplanned Unit 2 entry into a 4-hour limiting condition for operation (LCO) action statement, and a loss of the ‘1C’ fuel pool cooling (FPC) pump during equipment restoration. The FPC pump had been designated as protected equipment as a risk management action. The failure to perform adequate troubleshooting activities to identify and correct equipment problems prior to restoration was a performance deficiency that was within PPL’s ability to foresee and prevent. PPL entered this issue into their CAP as CR 1628250.

The inspectors determined that the finding was more than minor because it was associated with the configuration control attribute of the Barrier Integrity cornerstone and adversely affected its objective to provide reasonable assurance that physical design barriers protect the public from radionuclide releases caused by accidents or events. Specifically, the event resulted in the inoperability of secondary containment and loss of a FPC pump. The finding was evaluated in accordance with IMC 0609, Attachment 4, and Appendix A - Exhibit 3, and was determined to be of very low safety significance (Green) because the finding did not only represent a degradation of the radiological barrier function provided for the standby gas treatment system and it did not: a) cause the spent fuel pool to exceed a maximum temperature limit; b) cause mechanical fuel damage and detectable release of radio-nuclides; c) result in the loss of spent fuel pool water inventory; or d) affect spent fuel shutdown margin. This finding is related to the cross-cutting area of Human Performance – Decision-Making because PPL did not make safety-significant or risk-significant decisions using a systematic process, especially when faced with uncertain or unexpected plant conditions, to ensure safety is maintained. Specifically, PPL failed to restore equipment in a systematic manner, given the intermittent nature of heater faults, to preclude a repeated loss of protected equipment and secondary containment.

[H.1(a)] (Section 1R12)

Inspection Report# : [2012004](#) (*pdf*)

Significance:  Jun 30, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Improperly Performed Maintenance Impacts Secondary Containment

A self-revealing Green NCV of TS 5.4.1, "Procedures," was identified regarding PPL's conduct of maintenance during a Unit 1 refueling outage which impacted the operating unit, Unit 2. Specifically, improperly performed maintenance on a Unit 1 main stop valve (MSV) and outboard main steam isolation valve (MSIV) affected safety-related equipment to include the standby gas treatment system (SGTS) and Unit 2 secondary containment in an unplanned manner.

PPL entered this issue in their CAP via CRs 1558764, 1558718, and 1560235 and performed a root cause analysis (RCA) on this.

Improperly performed MSIV and MSV maintenance was a performance deficiency within PPL's ability to foresee and correct. This finding was considered more than minor because it was similar to IMC 0612, Appendix E, Examples 3.j and 3.k, in that a physical plant condition and subsequent engineering calculation resulted in a condition where there was reasonable doubt on the operability of a system or component, in this case secondary containment. Further, the performance deficiency affected the procedure quality and SSC and barrier performance attributes of the Barrier Integrity cornerstone and its objective to provide reasonable assurance that physical design barriers protect the public from radionuclide releases caused by accidents or events. In this case, lack of coordination resulted in a loss of reasonable assurance that secondary containment was operable. The issue screened to Green via IMC 0609, Attachment 4, since it did not represent a degradation of the barrier function of the control room, did not represent an actual open pathway in the physical integrity of reactor containment, and did not involve the actual reduction in function of hydrogen igniters in containment. The issue was determined to have a cross-cutting aspect in the area of Human Performance to plan and coordinate work activities, consistent with nuclear safety. In this case, the MSV and MSIV work activities were not coordinated amongst various departments to address the operational impact of sequence changes on plant configuration. [H.3(b)] (Section 1R15)

Inspection Report# : [2012003](#) (pdf)

Significance:  Dec 31, 2011

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate Operability Assessment of Suppression Pool Spray

An NRC-identified Green NCV of 10 CFR 50, Appendix B, Criterion V, "Instructions, Procedures, and Drawings," was identified when PPL did not perform an adequate operability assessment for a failed suppression pool (SP) spray flow indicator in accordance with procedure Nuclear Department Administrative Procedure (NDAP)-QA-0703, "Operability Assessments and Requests for Enforcement Discretion," Revision 15. The issue was entered into PPL's CAP as Condition Report (CR) 1478716.

The finding is more than minor because it was similar to example 3.j in IMC 0612 Appendix E, "Examples of Minor Issues" in that an error in a calculation is not minor if the error results in reasonable doubt on the operability of the system or component. In this case, the error made in evaluating the operability of the SP spray mode of residual heat removal (RHR) resulted in reasonable doubt on its operability. Additionally, it affected the structures, systems and components (SSCs) and barrier performance attribute of the Barrier Integrity cornerstone objective to provide reasonable assurance that physical design barriers (fuel cladding, reactor coolant system (RCS), and containment) protect the public from radionuclide releases caused by accidents or events. Specifically, one subsystem of SP spray was declared inoperable, constituting 62.5 hours of subsystem unavailability. The finding was evaluated for significance using IMC 0609, Attachment 4, "Phase 1 - Initial Screening and Characterization of Findings." Since the finding was not a degradation of the barrier function of the control room against smoke or toxic gas, did not represent an actual open pathway of the physical integrity of containment, and did not involve an actual reduction in function of hydrogen igniters in the reactor containment, the finding was determined to be of very low safety significance (Green). This finding is related to the CCA of Problem Identification and Resolution (PI&R) - CAP because PPL did not thoroughly evaluate problems such that the resolutions address the causes and extent of conditions, to include properly classifying, prioritizing and evaluating for operability. Specifically, PPL failed to appropriately evaluate the

effect that an instrumentation failure had on the operability of the SP spray subsystem. (P.1(c)) (1R15)
 Inspection Report# : [2011005](#) (*pdf*)

Significance: G Nov 08, 2011

Identified By: Self-Revealing

Item Type: FIN Finding

Inadequate Maintenance Practices Result in Trip of Protected Equipment Spent Fuel Pool Cooling Pump

A self-revealing Green finding of NDAP-QA-0340, “Protected Equipment Program,” Revision 10, was identified when the 2A fuel pool cooling (FPC) pump tripped during maintenance on the 2A FPC heat exchanger (HX). The pump and HX had been designated as protected equipment. The unavailability and loss of pump functionality resulted in an off normal procedure entry. PPL entered this issue into their CAP as CR 1438904 and completed an apparent cause evaluation (ACE).

The finding was more than minor due to its adverse effect on the Barrier Integrity cornerstone attribute of system, structure, and component performance to maintain spent fuel pool cooling (SFPC) system functionality and its objective to provide reasonable assurance that physical design barriers protect the public from radionuclide releases caused by accidents or events. The finding was screened in accordance with IMC 0609 Attachment 4, “Phase 1 – Initial Screening and Characterization of Findings,” and was determined to be of very low safety significance, Green, due to its not being associated with the loss of cooling to the spent fuel pool (SFP) that would have precluded restoration prior to boiling, a fuel handling error, or loss of SFP inventory. The finding had a cross-cutting aspect in the area of Human Performance, Work Practices, in that PPL did not use human error prevention techniques commensurate with the risk of the assigned task nor did personnel stop work in the face of uncertainty.

Inspection Report# : [2011004](#) (*pdf*)

Significance: G Nov 08, 2011

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate Surveillance Procedure Results in Missed Technical Specification Surveillance Requirements for Secondary Containment

An NRC-identified Green NCV of Susquehanna Unit 1 and 2 TS 5.4.1, “Procedures,” was identified for an inadequate surveillance procedure for implementing Technical Specifications (TS) Surveillance Requirement (SR) 3.6.4.1.4 and 3.6.4.1.5. Specifically, the implementing procedure was revised allowing the SR to be missed and subsequently required entry into SR 3.0.3. PPL entered this issue in their CAP as CR 1460362.

The finding is more than minor because it was similar to example 3.d in IMC 0612 Appendix E, “Examples of Minor Issues” in that the failure to implement the TS SR as required is not minor if the surveillance had not been conducted. In this case, the SR had not been completed for all configurations of secondary containment and required both Unit 1 and Unit 2 to enter SR 3.0.3 for a missed surveillance. Additionally, it is associated with the procedure quality attribute to maintain functionality of containment and the Barrier Integrity cornerstone objective to provide reasonable assurance that physical design barriers protect the public from radionuclide releases caused by accidents or events. Specifically, the inadequate surveillance procedure resulted in missed surveillances, SRs 3.6.4.1.4 and 3.6.4.1.5 and entry into SR 3.0.3 for missed surveillances. The finding was evaluated for significance using IMC 0609, Attachment 4, “Phase 1 - Initial Screening and Characterization of Findings.” Since the finding only represented a degradation of the radiological barrier function provided for the reactor building (RB) (i.e. secondary containment), the finding was determined to be of very low safety significance (Green). This finding is related to the cross-cutting area of Human Performance – Resources because PPL did not ensure that personnel, equipment, procedures, and other resources were available and adequate to assure nuclear safety. Specifically, the procedures did not ensure surveillance requirements (SRs) required by TS 3.6.4.1 were implemented.

Inspection Report# : [2011004](#) (pdf)

Significance: SL-IV Nov 08, 2011

Identified By: NRC

Item Type: NCV NonCited Violation

Inaccurate RCS PI Data Submittal

An NRC-identified SL-IV NCV of 10 CFR 50.9(a), “Completeness and Accuracy of Information,” occurred when PPL inaccurately reported reactor coolant system (RCS) leakage values under the RCS leakage performance indicator (PI) for both units since inception of the PI in April 2000. PPL entered the issue in their CAP as CR 1441824, completed an apparent cause evaluation, and plans to revise PI data previously submitted. No performance indicator crossed the Green/White threshold once the values were updated.

Because violations of 10 CFR 50.9 are considered to potentially impede or impact the regulatory process, they are dispositioned using the traditional enforcement process. The inspectors concluded that PPL had reasonable opportunity to foresee and correct the inaccurate information prior to the information being submitted to the NRC. PPL's failure to identify and correct the recurring errors over this period of time indicated the existence of a programmatic issue. Additionally, verification of the corrected PI data in a subsequent inspection will have more than an insignificant regulatory impact on the NRC. Accordingly, although none of the affected PIs in this case would have crossed the threshold, the NRC has determined that the violation is of more than minor significance. The finding was not considered to be more significant since had this information been accurately reported, it would not have likely caused the NRC to reconsider a regulatory position or undertake a substantial further inquiry. The significance of the associated performance deficiency was screened against the ROP per the guidance of Manual Chapter 0612, Appendix B. No associated ROP finding was identified and no cross-cutting aspect was assigned

Inspection Report# : [2011004](#) (pdf)

Emergency Preparedness

Significance:  Mar 31, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Inadequate Instrumentation to Implement EALs for Unplanned Radiological Effluent Release

The inspectors identified a Green NCV of 10 CFR Part 50.54 q and 50.47(b)(4) because PPL did not have adequate instrumentation to assess and determine if an abnormal radiological effluent release was in progress such that the EAL classification process would declare an Alert accurately and in a timely manner. Specifically, the maximum range for the liquid radwaste discharge radiation monitor was inadequate to ensure the meter was onscale when the threshold value of 200 times the alarm setpoint established by the discharge permit was reached.

The finding was more than minor because it is associated with the Emergency Preparedness (EP) cornerstone attribute of Facilities and Equipment, and affected the cornerstone objective of ensuring that a licensee is capable of implementing adequate measures to protect the health and safety of the public in the event of a radiological emergency. Specifically, the effective range for the liquid radwaste discharge monitor was insufficient to ensure a timely and accurate EAL classification could be made. Using IMC 0609, Appendix B, Section 5.4, the finding is of very low safety significance because the finding was determined to be an example of an ineffective EAL, such that an Alert would be declared in a degraded manner. This finding is related to the cross-cutting area of PI&R - CAP because PPL did not thoroughly evaluate problems such that the resolutions address the causes and extent of conditions, to include properly classifying, prioritizing and evaluating for operability. Specifically, PPL failed to appropriately evaluate the extent of condition from similar NCVs issued in November 2008 and 2010 regarding inadequate

instrumentation to support EAL declarations. [P.1(c)] (Section 1EP6)

Inspection Report# : [2012002](#) (pdf)

Occupational Radiation Safety

Significance: G Mar 31, 2012

Identified By: Self-Revealing

Item Type: NCV NonCited Violation

Noncompliance with Radiological Barrier

A self-revealing, Green NCV of Technical Specification (TS) 5.7.1 was identified when a worker did not comply with a radiological barrier and protective measures for high radiation area (HRA) entry. Specifically, the worker entered a HRA but was not on the proper radiation work permit (RWP) and had not been briefed for HRA entrance. Upon identification, PPL conducted a Susquehanna Error Prevention Team Assessment (SEPTA), entered this issue into their CAP as Condition Report (CR) 1546827, and issued both an Effluents department clock reset and a Radiological Safety Note to station personnel.

The finding was determined to be more than minor based on similarity to IMC 0612, Appendix E, Example 6.h, which describes an improper entry into an HRA. Specifically, the individual was not authorized entry into a HRA. It was also more than minor based on association with the human performance attribute of the Occupational Radiation Safety cornerstone and its objective to ensure the adequate protection of worker health and safety from exposure to radiation from radioactive material during routine civilian nuclear reactor operation. The finding was evaluated in accordance with IMC 0609, Appendix C, where it was determined to be Green since PPL's three year average collective dose is less than 240 person-rem/unit. The inspectors determined that this issue had a cross-cutting aspect in Human Performance - Work Practices. Human error prevention techniques, such as pre-job briefings and self-checking are expected to be used commensurate with the risk of the assigned task, such that work activities are performed safely. Personnel also do not proceed in the face of uncertainty or unexpected circumstances. In this case, the worker did not adhere to the pre-job briefings associated with the assigned RWP that prohibited HRA entry and the worker's health physics (HP) briefing that did the same. Further, the individual proceeded in the face of uncertainty by breaching the HRA boundary. [H.4(a)] (Section 4OA2.1)

Inspection Report# : [2012002](#) (pdf)

Significance: G Mar 31, 2012

Identified By: NRC

Item Type: NCV NonCited Violation

Failure to Follow Radiation Protection Procedures

The inspectors identified a Green NCV of TS 5.4.1.a, which requires that written procedures be implemented covering the activities in the applicable procedures recommended by Regulatory Guide (RG) 1.33, including procedures for RWPs. On December 5, 2011, a work crew identified that dose rates exceeded the "Alert" levels specified on their RWPs used to transfer an 1100 Curie Cesium 137 source from a shipping cask to a calibration irradiator. Procedure NDAP-QA-0626, "Radiological Controlled Area (RCA) Access and RWP System," Appendix X, provides specific actions that the radiation protection technician providing job coverage must take when "Alert" levels are exceeded. All of the actions were not completed prior to restarting the work on December 5, 2011. Specifically, higher levels of supervision were not notified, the RWP was not changed, and no additional actions or precautions were documented in the RWP remarks log as required by NDAP-QA-0626, Appendix X. PPL subsequently entered the issue into their CAP as CR 1521467.

The finding is more than minor because it is associated with the Radiation Safety - Occupational Radiation Safety cornerstone attribute of program and process and affected the cornerstone objective of protecting worker health and safety from exposure to radiation. Specifically, PPL did not take the appropriate actions defined in the procedure to evaluate actions to prevent recurrence prior to restarting work when RWP alert levels had been exceeded. Using the IMC 0609, Appendix C, "Occupational Radiation Safety SDP," the inspector determined that the finding was of very low safety significance (Green) because it did not involve: (1) an as low as is reasonably achievable (ALARA) planning and controls deficiency, (2) an overexposure, (3) a substantial potential for overexposure, or (4) an impaired ability to assess dose. This finding was caused by inadequate procedure compliance. Consequently, the cause of this deficiency had a cross-cutting aspect in the area of Human Performance. Specifically, PPL did not follow procedures. [H.4(b)] (Section 4OA2)

Inspection Report# : [2012002](#) (*pdf*)

Public Radiation Safety

Security

Although the Security Cornerstone is included in the Reactor Oversight Process assessment program, the Commission has decided that specific information related to findings and performance indicators pertaining to the Security Cornerstone will not be publicly available to ensure that security information is not provided to a possible adversary. Other than the fact that a finding or performance indicator is Green or Greater-Than-Green, security related information will not be displayed on the public web page. Therefore, the [cover letters](#) to security inspection reports may be viewed.

Miscellaneous

Last modified : November 30, 2012